



Cost Accounting Standards Notices and Certification (June 2000)

(For Large Business Subcontracts \$500K or Greater)

- Small businesses, foreign Governments or foreign concerns shall refer to Part III of this certification.
- Any contract in excess of \$500,000 resulting from this solicitation is subject to the requirements of the Cost Accounting Standards Board (CASB) except those contracts for which exemption is authorized by the Federal Acquisition Regulations (FAR).
- The information provided in this notice determines the Cost Accounting Standards (CAS) requirements applicable to any contract resulting from this solicitation.
- Those contracts which may be exempt under the provisions of 4 CFR 331.30(b) are also exceptions to this statement.
- **Offerors must examine all parts of this form and, where necessary, provide the requested information as applicable.**
- **Sign the form in Part V and return to Talley Defense Systems.**

PART I

Disclosure Statement of Cost Accounting Practices and Certification

Any offeror submitting a proposal that, if accepted, will result in a contract subject to the requirements of the Cost Accounting Standards Board (CASB) must submit, must have already submitted, or must be exempt from submitting the Disclosure Statement required by Board regulation as a condition of contracting. The Disclosure Statement discloses the practices used in pricing the submitted proposal. The Disclosure Statement must be on form number CASB-DS-1 or DS-2 as applicable. Forms may be obtained from the cognizant Administrative Contracting Officer (ACO).

Caution: A practice disclosed in a Disclosure Statement will not, by virtue of such disclosure, be deemed to be a proper, approved, or agree-to practice for pricing proposals or accumulating and reporting contract performance cost data.

Examine each of the sections below and complete the section appropriate to the submitted proposal.

1. Certificate of Concurrent Submission of Disclosure Statement

Check this box if concurrently submitting a Disclosure Statement as part of the proposal. Provide the appropriate information as required below.

The offeror hereby certifies that, as a part of the offer, copies of the Disclosure Statement have been submitted as follows:

1. An original and one copy to the cognizant ACO or cognizant Federal agency official as authorized to act in that capacity.
2. One copy to the cognizant contract auditor.

The offeror further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in this Disclosure Statement.

Date of Disclosure Statement:

Name and address of cognizant ACO where Disclosure Statement filed:

2. Certificate of Previously Submitted Disclosure Statement

Check this box if a Disclosure Statement has previously been submitted. Provide the appropriate information as required below.

The offeror hereby certifies that a Disclosure Statement was previously filed.

The offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement.

Date of previously filed Disclosure Statement:

Name and address of cognizant ACO where Disclosure Statement previously filed:

3. Certificate of Monetary Exemption from the Disclosure Statement Requirement

Note: This exemption does not apply if the contract resulting from this proposal exceeds \$50,000,000.

Check this box if claiming a monetary exemption from the Disclosure Statement requirement.

The offeror hereby certifies that in the cost accounting period immediately preceding the period in which this proposal is submitted, the offeror, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling more than \$50,000,000.

The offeror further certifies that if such status changes before an award resulting from this proposal, the offeror will immediately advise Talley Defense Systems and the cognizant contracting officer or ACO.

4. Certificate of Interim Exemption from the Disclosure Statement Requirement

Note: Offerors currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of \$50,000,000 or more in the current cost accounting period may not claim this exemption. In addition, this exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.

Check this box if claiming an interim exemption from the Disclosure Statement requirement.

The offeror hereby certifies that the offeror first exceeded the monetary exemption for disclosure, as described in paragraph 3 of this Part I, in the cost accounting period immediately preceding the period in which this offer was submitted.

In accordance with the regulations of the CASB, the offeror further certifies that if an award resulting from this proposal has not been made within 90 days, the offeror will immediately submit a revised certification to Talley Defense Systems and the cognizant contracting officer as described in paragraph 1 or paragraph 2 of this Part I.

PART II

Eligibility for Modified Cost Accounting Standards Coverage

Note: The offeror may not claim eligibility for modified CAS coverage if the proposal is expected to result in the award of a prime contract of \$50,000,000 or more. In addition, the offeror may not claim eligibility for modified CAS coverage if, during its current cost accounting period, the offeror has been awarded in a single CAS-covered national defense prime contract or subcontract of \$50,000,000 or more.

The offeror may be eligible to use the modified provisions of 48 CFR 9903.201-2(b) and may elect to claim this eligibility. If the offeror is eligible for modified CAS coverage, the resulting contract is subject to the Disclosure and Consistency of Cost Accounting Practices clause in lieu of the Cost Accounting Standards clause.

Check this box if claiming eligibility for modified CAS coverage of any contract resulting from this proposal.

The offeror hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR 9903.201-2(b).

The offeror hereby certifies that it is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause for the following reasons:

During the cost accounting period immediately preceding the period in which this proposal was submitted, the offeror received less than \$50,000,000 in awards of CAS-covered national defense prime contracts and subcontracts.

The sum of these awards equaled less than 10 percent of total sales during the cost accounting period.

The offeror further certifies that if such status changes before an award resulting from the proposal, it will immediately advise Talley Defense Systems and the cognizant contracting officer.

PART III

Eligibility for Exemptions from Cost Accounting Standards Requirements

The offeror may be eligible to claim an exemption from CAS requirements. If the offeror claims eligibility for exemption from CAS requirements, the offeror must provide justification for the claimed exemption, if required.

Examine each of the sections below and complete the appropriate section.

1. Exemption Claimed for Small Business

Check this box if claiming eligibility for exemption from CAS coverage for small business.

The offeror hereby claims exemption from the CAS requirements under the provisions of 4 CFR 331.30(b)(1).

The offeror hereby certifies that it meets the criteria for being an eligible small business concern as prescribed by the Small Business Administration (13 CFR, Part 121).

2. Exemption Claimed by a Foreign Government, its Agent or instrumentality, or a Foreign Concern

Check this box if claiming eligibility for exemption from CAS coverage for a foreign government, an agent of a foreign government, an instrumentality of a foreign government, or a foreign concern.

The offeror hereby certifies that it claims exemption as a foreign government, as an agent of a foreign government, as an instrumentality of a foreign government, or as a foreign concern.

Note: Nongovernment foreign concerns are subject to modified CAS coverage. They must comply with Consistency in Estimating, Accumulating, and Reporting Costs, CAS 401, and Consistency in Allocating Costs Incurred for the Same Purpose, CAS 402.

3. Exemption Claimed for Prices Set by Law or Regulation

Check this box if claiming eligibility for exemption from CAS coverage based on prices set by law or regulation.

The offeror hereby certifies that the prices quoted have been set by law or regulation and claims exemption from CAS requirements under the provisions of 4 CFR 331.30(a).

The offeror further agrees to support this claim for exemption by completing and submitting the Claim for Exemption from Submission of Certified Cost or Pricing Data.

4. Exemption Claimed Based on Catalog or Market Prices

Check this box if claiming eligibility for exemption from CAS coverage based on catalog or market prices.

The offeror hereby certifies that the prices quoted are based on established catalog or market prices of commercial items sold in substantial quantities to the general public.

The offeror hereby claims exemption from the CAS requirements under the provisions of 4 CFR 331.30(a).

5. Exemption Claimed for Contracts of \$500,000 or Less

Check this box if claiming eligibility for exemption from CAS coverage for a contract of \$500,000 or less.

The offeror hereby certifies that it is not currently performing any national defense CAS-covered contracts.

The offeror hereby claims an exemption from the CAS requirements under the provision of 4 CFR 331.30(b)(7) and FAR 30.201-1(b)(7).

The offeror hereby certifies that notification of final acceptance of all deliverable items has been received on all prime contracts or subcontracts containing the Cost Accounting Standards clause or the Disclosure and Consistency of Cost Accounting Practices clause.

The offeror further certifies that it will immediately notify Talley Defense Systems and the cognizant contracting officer in writing upon receiving an award of any other contract or subcontract containing Cost Accounting Standards clauses if the award is made after this certificate is completed but before the date of any award resulting from this proposal.

6. Eligibility for Exemption by a Business Performing a Non-Defense Contract

Check this box if claiming eligibility for exemption from CAS coverage as a business unit that is not currently performing any CAS-covered national defense contracts, and the contract for which this certification is made is a non-defense contract.

The offeror hereby represents that a non-defense contract award is invoked under this certification, and the offeror hereby certifies that it is not currently performing any CAS-covered national defense contract.

7. Eligibility for Exemption by an Educational Institution

Check this box if claiming eligibility for exemption from CAS coverage as an educational institution that will not use a Federally Funded Research and Development Center (FFRDC) operated by it to perform the work for this contract.

The offeror hereby certifies that it is an educational institution.

The offeror further certifies that the work of the resulting contract will not be performed by Federally Funded Research and Development Centers (FFRDCs) operated by the institution.

8. Eligibility for Exemption by an Entity in the United Kingdom

Check this box if claiming eligibility for exemption from CAS coverage as an entity in the United Kingdom with performance substantially in the United Kingdom.

The offeror hereby certifies that it is a United Kingdom contractor and that performance of the work under this contract will be performed substantially in the United Kingdom.

The offeror further certifies that it has filed a completed Disclosure Statement (Form Number CASBDS-1), adequately describing its cost accounting practices, with the United Kingdom Ministry of Defense for retention by the Ministry. When already required to follow United Kingdom Government Account Conventions, the offeror certifies that the disclosed practices are in accord with the requirements of those conventions.

PART IV

Additional Cost Accounting Standards Applicable to Existing Contracts

The offeror must indicate if the award of a contract resulting from this proposal will, in accordance with paragraph (a)(3) of the Cost Accounting Standards Clause, require a change in established cost accounting practices affecting existing contracts and subcontracts.

Yes (Explain change):

No

Note: If the offeror checks "Yes" above and is awarded the contract resulting from this proposal, the offeror will be required to comply with the requirements of paragraphs (a)(i), (b), and (c) of the Administration of Cost Accounting Standards clause.

Certificate of Disclosure Statement Due Date by Educational Institution

If the offeror is an educational institution that, under the transition provisions of 48 CFR 9903.202-1(f), is or will be required to submit a Disclosure statement after receipt of this award, the offeror hereby certifies that (check one and complete):

(i) A Disclosure Statement Filing Due Date of _____ has been established with the cognizant Federal agency.

(ii) The Disclosure Statement will be submitted within the 6-month period ending _____ months after receipt of this award.

Name and Address of Cognizant ACO or Federal Official Where Disclosure Statement is to be Filed:

PART V

Authorized Signature

The offeror must provide the following information, including the authorized signature. By signing below, the offeror certifies that the information provided on the form is correct.

Company _____

By _____

Title _____

Date _____

RFQ/RFP Number _____